

RECEIVED  
CENTRAL FAX CENTER

## BLAKELY SOKOLOFF TAYLOR &amp; ZAFMAN LLP

FEB 06 2006

TELEPHONE: (714) 557-3800

INTELLECTUAL PROPERTY LAW  
12400 WILSHIRE BOULEVARD, 7TH FLOOR  
LOS ANGELES, CA 90025

FACSIMILE: (714) 557-3347

## FACSIMILE COVER SHEET

Deliver to: Hassan Mahmoudi, USPTO Art Group: 2165  
 Facsimile No.: 703-872-9306 Date: February 6, 2006  
 From: William W. Schaal, Reg. No. 39,018  
 Our Docket No.: 42390P9844 Number of pages 13 including this sheet.  
 Application No.: 09/751,899 Filing Date: 12/27/2000  
 Docket Due Date(s): 2/6/2006

Enclosed are the following documents:

|   |   |
|---|---|
| <input checked="" type="checkbox"/> Amendment: After Final ( <u>9</u> pgs)                                  | <input type="checkbox"/> Issue Fee Transmittal                                    |
| <input type="checkbox"/> Appeal Brief ( <u>    </u> pgs)  | <input type="checkbox"/> Notice of Appeal   |
| <input type="checkbox"/> Application: <u>                    </u><br>( <u>    </u> pgs) w/cover & abstract) | <input type="checkbox"/> Petition for: <u>                    </u>                |
| <input type="checkbox"/> Assignment & Cover Sheet ( <u>    </u> pgs)  | <input type="checkbox"/> Request for Continued Examination (RCE)                  |
| <input checked="" type="checkbox"/> Certificate of Facsimile  | <input type="checkbox"/> Reply Brief ( <u>    </u> pgs)                           |
| <input type="checkbox"/> Continued Prosecution Application (CPA)  | <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i)     |
| <input type="checkbox"/> Declaration & POA ( <u>    </u> pgs)   | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request       |
| <input type="checkbox"/> Drawings: <u>    </u> sheets, <u>    </u> figures                                  | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input type="checkbox"/> Extension of Time: <u>            </u>   | <input type="checkbox"/> Response to Written Opinion ( <u>    </u> pgs)           |
| <input checked="" type="checkbox"/> Fee Transmittal (in duplicate)  | <input type="checkbox"/> Terminal Disclaimer                                      |
| <input type="checkbox"/> IDS & PTO/SB/08 ( <u>    </u> pgs)   | <input type="checkbox"/> Transmittal of Publication Fee Due                       |
| <input type="checkbox"/> Other <u>                                    </u>                                  | <input checked="" type="checkbox"/> Transmittal Letter                            |

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.84)**

I hereby certify that this correspondence is being transmitted by facsimile on the date shown below to the United States Patent and Trademark Office.

*N. Erquiaga*  
 Nicole Erquiaga

2/6/2006

Date

**Confidentiality Note:** The documents accompanying this facsimile transmission contain information from the law firm of Blakely, Sokoloff, Taylor & Zafman which is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

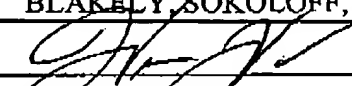
If you do not receive all the pages, or if there is any difficulty in receiving, please call: (714) 557-3800 and ask for Nicole Erquiaga.

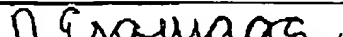
**RECEIVED  
CENTRAL FAX CENTER**

**FEB 06 2006**

|  |                      |                        |            |
|--|----------------------|------------------------|------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application No.      | 09/751,899             |            |
|  | Filing Date          | December 27, 2000      |            |
|  | First Named Inventor | David W. Grawrock      |            |
|  | Art Unit             | 2165                   |            |
|  | Examiner Name        | Hassan Mahmoudi        |            |
| Total Number of Pages in This Submission   | 12                   | Attorney Docket Number | 42390P9844 |

| ENCLOSURES (check all that apply)  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input checked="" type="checkbox"/> Amendment / Response<br><input checked="" type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> PTO/SB/08<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Basic Filing Fee<br><input type="checkbox"/> Declaration/POA<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s)<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input type="checkbox"/> Other Enclosure(s) (please identify below):<br><div style="border: 1px solid black; height: 60px; width: 100%;"></div> |
| Remarks  |  |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | William W. Schaal, Reg. No. 39,018<br><b>BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP</b> |
| Signature                                  |      |
| Date                                       | February 6, 2006  |

| CERTIFICATE OF MAILING/TRANSMISSION  |   |      |                  |
|--|---|------|------------------|
| I hereby certify that this correspondence is being transmitted via facsimile on the date shown below to the United States Patent and Trademark Office. |   |      |                  |
| Typed or printed name  | Nicole Erquiaga   |      |                  |
| Signature  |  | Date | February 6, 2006 |

Based on PTO/SB/21 (09-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 11/30/2005.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450


**RECEIVED**  
**CENTRAL FAX CENTER**

FEB 06 2006

|  |  |                          |                   |
|--|--|--------------------------|-------------------|
| <b>FEE TRANSMITTAL</b><br><b>for FY 2005</b><br><small>Patent fees are subject to annual revision.</small> |  | <i>Complete if Known</i> |                   |
|  |  | Application Number       | 09/751,899        |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.                            |  | Filing Date              | December 27, 2000 |
|  |  | First Named Inventor     | David W. Grawrock |
|  |  | Examiner Name            | Hassan Mahmoudi   |
|  |  | Art Unit                 | 2165              |
| <b>TOTAL AMOUNT OF PAYMENT</b> (\$)  |  | Attorney Docket No.      | 42390P9844        |

|   |  |
|---|--|
| <b>METHOD OF PAYMENT</b> (check all that apply)   |  |
| <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____    |  |
| <input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>02-2666</u> Deposit Account Name: <u>Blakely, Sokoloff, Taylor &amp; Zafman LLP</u>                                |  |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)  |  |
| <input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee   |  |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. <input checked="" type="checkbox"/> Credit any overpayments |  |

| Large Entity              |          | Small Entity |          | Fee Description  | Fee Paid |
|---------------------------|----------|--------------|----------|--|----------|
| Fee Code                  | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051                      | 130      | 2051         | 65       | Surcharge - late filing fee or oath                              |          |
| 1052                      | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet.          |          |
| 2053                      | 130      | 2053         | 130      | Non-English specification  |          |
| 1251                      | 120      | 2251         | 60       | Extension for reply within first month                           |          |
| 1252                      | 450      | 2252         | 225      | Extension for reply within second month                          |          |
| 1253                      | 1,020    | 2253         | 510      | Extension for reply within third month                           |          |
| 1254                      | 1,590    | 2254         | 795      | Extension for reply within fourth month                          |          |
| 1255                      | 2,160    | 2255         | 1,080    | Extension for reply within fifth month                           |          |
| 1401                      | 500      | 2401         | 250      | Notice of Appeal   |          |
| 1402                      | 500      | 2402         | 250      | Filing a brief in support of an appeal                           |          |
| 1403                      | 1,000    | 2403         | 500      | Request for oral hearing   |          |
| 1451                      | 1,510    | 2451         | 1,510    | Petition to institute a public use proceeding                    |          |
| 1460                      | 130      | 2460         | 130      | Petitions to the Commissioner                                    |          |
| 1807                      | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)                              |          |
| 1806                      | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                        |          |
| 1809                      | 790      | 1809         | 395      | Filing a submission after final rejection (37 CFR § 1.129(a))    |          |
| 1810                      | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR § 1.129(b)) |          |
| Other fee (specify) _____ |          |              |          |  |          |
| <b>SUBTOTAL (2)</b> (\$)  |          |              |          |  |          |

|                     |   |                                   |                |
|---------------------|---|-----------------------------------|----------------|
| <b>SUBMITTED BY</b> |   | <i>Complete (if applicable)</i>   |                |
| Name (Print/Type)   | William W. Schaal   | Registration No. (Attorney/Agent) | 39,018         |
| Signature           |  | Telephone                         | (714) 557-3800 |
|                     |   | Date                              | 02/06/06       |


Based on PTO/SB/17 (12/04) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 12/15/2004.  
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

**RECEIVED**  
**CENTRAL FAX CENTER**
**FEB 06 2006**

|   |  |                          |                   |
|---|--|--------------------------|-------------------|
| <b>FEE TRANSMITTAL</b><br><b>for FY 2005</b><br><small>Patent fees are subject to annual revision</small> |  | <i>Complete if Known</i> |                   |
|   |  | Application Number       | 09/751,899        |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.                           |  | Filing Date              | December 27, 2000 |
|   |  | First Named Inventor     | David W. Grawrock |
| <b>TOTAL AMOUNT OF PAYMENT</b> (\$)   |  | Examiner Name            | Hassan Mahmoudi   |
|   |  | Art Unit                 | 2165              |
|   |  | Attorney Docket No.      | 42390P9844        |

|   |  |
|---|--|
| <b>METHOD OF PAYMENT</b> (check all that apply)   |  |
| <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____    |  |
| <input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>02-2666</u> Deposit Account Name: <u>Blakely, Sokoloff, Taylor &amp; Zafman LLP</u>                                |  |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)  |  |
| <input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee   |  |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. <input checked="" type="checkbox"/> Credit any overpayments |  |

| Large Entity              |          | Small Entity |          | Fee Description  | Fee Paid |
|---------------------------|----------|--------------|----------|--|----------|
| Fee Code                  | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051                      | 130      | 2051         | 65       | Surcharge - late filing fee or oath                              |          |
| 1052                      | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet.          |          |
| 2053                      | 130      | 2053         | 130      | Non-English specification  |          |
| 1251                      | 120      | 2251         | 60       | Extension for reply within first month                           |          |
| 1252                      | 450      | 2252         | 225      | Extension for reply within second month                          |          |
| 1253                      | 1,020    | 2253         | 510      | Extension for reply within third month                           |          |
| 1254                      | 1,590    | 2254         | 795      | Extension for reply within fourth month                          |          |
| 1255                      | 2,160    | 2255         | 1,080    | Extension for reply within fifth month                           |          |
| 1401                      | 500      | 2401         | 250      | Notice of Appeal   |          |
| 1402                      | 500      | 2402         | 250      | Filing a brief in support of an appeal                           |          |
| 1403                      | 1,000    | 2403         | 500      | Request for oral hearing   |          |
| 1451                      | 1,510    | 2451         | 1,510    | Petition to institute a public use proceeding                    |          |
| 1460                      | 130      | 2460         | 130      | Petitions to the Commissioner                                    |          |
| 1807                      | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)                              |          |
| 1806                      | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                        |          |
| 1809                      | 790      | 1809         | 395      | Filing a submission after final rejection (37 CFR § 1.129(a))    |          |
| 1810                      | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR § 1.129(b)) |          |
| Other fee (specify) _____ |          |              |          |  |          |
| <b>SUBTOTAL (2)</b> (\$)  |          |              |          |  |          |

|                     |   |                                   |                |
|---------------------|---|-----------------------------------|----------------|
| <b>SUBMITTED BY</b> |   | <i>Complete (if applicable)</i>   |                |
| Name (Print/Type)   | William W. Schaal   | Registration No. (Attorney/Agent) | 39,018         |
| Signature           |  | Telephone                         | (714) 557-3800 |
|                     |   | Date                              | 02/06/06       |

Based on PTO/SB/17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (M1) 12/15/2004  
 SEND TO: Commissions for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Appl. No. 09/751,899  
Amdt. Dated 02/06/2006  
Reply to Office Action of 12/06/2005

**RECEIVED  
CENTRAL FAX CENTER**

**FEB 06 2006**

**RESPONSE UNDER 37 CFR § 1.116  
EXPEDITED PROCEDURE  
EXAMINING GROUP 2165**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                    |  |                       |
|--------------------|--|-----------------------|
| Application. No. : | 09/751,899   | Confirmation No. 9094 |
| Applicant :        | David W. Grawrock  |                       |
| Filed :            | 12/27/2000   |                       |
| TC/A.U. :          | 2165   |                       |
| Examiner :         | Hassan Mahmoudi  |                       |
| Title :            | Platform and Method of Creating a Secure Boot that Enforces<br>Proper User Authentication and Enforces Hardware Configurations |                       |
| Docket No. :       | 042390.P9844   |                       |
| Customer No. :     | 8791   |                       |

Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

**AMENDMENT**

Sir:

In response to the Final Office action of December 6, 2005, please amend the above-identified application as follows:

**Listing of Claims** is presented beginning on page 2 of this paper.

**Remarks/Arguments** begin on page 6 of this paper.